


SUSTAINABILITY POLICY


CONFIDENTIAL

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Review Control

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1.0	February, 2021	M. Nalleli Barajas López	

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1.0	February, 2021	Preparation of the Sustainability Policy	M. Nalleli Barajas López


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1. Objective

Grupo Bolsa Mexicana de Valores recognizes the fundamental role it plays in **Mexico's economic and sustainable development**. As a financial institution, we have a great responsibility to generate initiatives and concrete actions in environmental, social and governance matters that involve our different stakeholders and allow us to develop new markets.

It is a priority for Grupo BMV to create value for society, strengthen the financial awareness in Mexico and contribute to the reduction of the impact on the environment, through the achievement of the company's mission.

This Policy establishes the general guidelines that Grupo BMV will observe in the definition of strategies, policies, objectives, initiatives, programs and goals regarding sustainability, in order to generate long-term value in terms of governance, social development, and environmental efficiency for our stakeholders, as well as to manage, as a whole, the associated risks.

2. Field of application

The Policy and the guidelines or criteria that derive from it shall be applicable to all Grupo Bolsa Mexicana de Valores companies.

3. Definitions

ESG: Environmental, Social and Governance.


Grupo BMV: Companies from Grupo Bolsa Mexicana de Valores

Stakeholders: Any group or individual that can affect or is affected by the organization's operations. Both internal and external:

- **Internal:** Shareholders, Boards of Directors of BMV and its subsidiaries, Grupo BMV Committees, other corporate bodies, senior management and employees.
- **External:** Regulators, clients, suppliers, the media and society in general.

Each Grupo BMV area is linked to one or more stakeholders.

Sustainability Group: Group composed of executives of Grupo BMV, whose functions have a direct impact on the environmental, social and governance strategy, as well as the person responsible for the Sustainability area and strategic guests. It meets at least four times a year to follow up on the sustainability strategy and report results. At least 80% of the members are required to attend the meetings.

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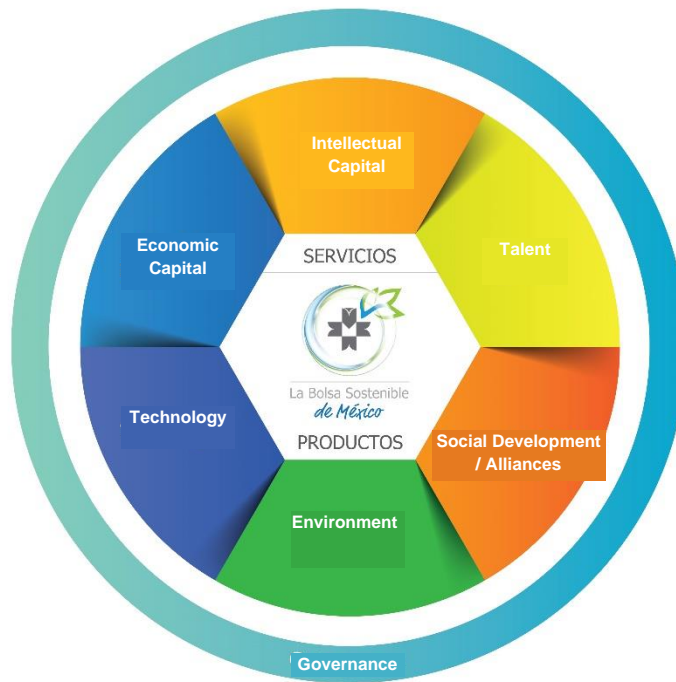
Materiality: Identification of issues that are strategic for an organization from a financial and ESG perspective, considering impacts and risks that may affect its sustainability over time.


Sustainability: Create value for society and contribute to the reduction of the impact on the environment, through the achievement of the company's mission, considering the perceptions, impacts, expectations and interests of stakeholders.

4. Sustainability strategic approach

Grupo Bolsa Mexicana de Valores plays an essential role in the national economy's growth; therefore, it is our responsibility to conduct our business in a responsible manner considering economic, governance, environmental and social factors, in such a way as to ensure the long-term viability of the organization and, in turn, generate a positive and sustainable impact.

Our sustainability strategy is aligned with the mission of our business and is reflected in an eight-dimensional Model, which we integrate as a constant in Grupo BMV to guide business decisions and obtain a profitable and sustainable performance in the long term. In addition, it allows us to provide added value to our stakeholders, elevating investment practices and setting the standard for the development of financial markets in Mexico.



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Grupo BMV's strategic sustainability objectives


1. **Products and Services** – To become leaders in customer service and experience in all of Grupo BMV's products and services, as well as to develop the ESG market through the implementation of new financial instruments.
2. **Governance** - To be leaders and promoters of international best practices in Governance.
3. **Talent** - To be an aspirational organization to work, learn and build together the expected business results.
4. **Social Development / Alliances** - Strengthen the financial culture in Mexico and enhance the country's development through our strategic alliances.
5. **Environment** - To be leaders in the promotion of sustainable finance and, within the organization, to strengthen environmental practices.
6. **Technology** - To have the appropriate technologies to be able to timely adapt to changing environments and future markets.
7. **Intellectual capital** - Continuously work on improving processes with a focus on automation and innovation.
8. **Economic capital** - Positioning Grupo BMV as an efficient, profitable and sustainable company in the long term, which drives economic growth in Mexico.

5. General Guidelines


At Grupo BMV, sustainability is relevant to our business model. On a daily basis, we meet the needs of our stakeholders, follow the best governance practices, develop our employees, optimize the use of resources, and promote alliances that allow us to achieve our objectives.

As a cornerstone of the securities market, we play a fundamental role in the country's financial development, which drives us to create, maintain, and promote a culture of sustainability and corporate responsibility that contributes to Mexico's growth and allows us to expand the positive impact of our operations. Therefore, our sustainability commitments are:

1. To integrate a sustainability strategy in the planning of Grupo BMV.
2. Create a Sustainability Group made up of Grupo BMV executives and the Sustainability area, which meets periodically and follows up on the strategy.

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3. Encourage sustainable financing in the financial markets by promoting green and sustainable instruments and services that increase the flow of resources towards assets and projects that provide environmental and social benefits.
4. Strengthen the disclosure of ESG information in listed companies, through guidelines and tools that facilitate reporting.
5. Create ESG capabilities among different stakeholders.
6. Invite different financial sector participants to the dialogue to develop environmental markets.
7. To generate reliable and timely information services that contribute to and generate greater transparency in the different financial instruments.
8. To provide the financial system with transactional services, custody, administration, clearing and settlement of securities, in an environment of maximum trust, security and state-of-the-art technology.
9. To contribute to the strengthening of the financial culture in Mexico.
10. To work in alliance with key actors to achieve strategic sustainability objectives, especially with the Sustainable Stock Exchanges Initiative, the Ten Principles of the United Nations Global Compact and the Sustainable Development Goals.
11. To ensure that Grupo BMV strictly complies with the regulatory and normative framework applicable to its member companies.
12. Monitor every three years the relevant issues of the Group through a materiality analysis, in order to ensure that they remain relevant to our stakeholders and consistent with the long-term viability and resilience of our business model.
13. Maintain a constant dialogue with our stakeholders, where the respective area of Grupo BMV responsible for the issue in question responds to their needs based on the company's objectives and regulations.
14. Identify and manage risks and opportunities, both financial and environmental, social and governance (ESG) of our activities, in order to prevent incidents that affect our objectives.
15. Promote a permanent culture of ethics and values in the performance of institutional activities, facilitating preventive and corrective mechanisms.
16. Consolidate an organizational culture based on our values, respect for human rights, equity and teamwork.


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17. Promote environmental care through initiatives focused on measuring, controlling, reducing or compensating our environmental footprint.
18. Develop or implement improvements to our processes and procedures in accordance with the highest standards of quality and best practices.
19. Promote innovation in the organization and implement new technologies.
20. To have high availability in our systems to provide the best service.
21. Ensure the continuity of the essential functions of the company through a Business Continuity Plan.
22. Implement the necessary security measures to protect the confidentiality, integrity and availability of information and operating systems based on their criticality and risks.
23. Promote initiatives that encourage the attraction and development of talent and strengthen the organizational culture.
24. Promote a healthy lifestyle among our employees and their families.
25. Generate capabilities within the organization in terms of governance, environmental and social issues.
26. Promote a culture of volunteer work that adds value to the communities in which we have an impact.
27. Request our suppliers through the Purchasing area, using the official communication channels, the integration of sustainable practices and compliance with the regulatory framework in social, environmental and governance matters corresponding to their line of business.

Measuring, evaluation and dissemination:

Bolsa Mexicana de Valores is a company whose shares are listed on its own stock market, and has the obligation to constantly measure its adherence to the best sustainability practices, as well as to publish relevant information for the knowledge of all its stakeholders. For this reason, it is part of our commitment:

28. To measure the sustainability results derived from the evaluations periodically applied to us by the rating agencies that evaluate ESG criteria, as well as investors, authorities, among other stakeholders, and to make the corresponding improvements through action plans, in coordination with the responsible areas.

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29. Promote transparency with our stakeholders through our website, reports, periodic communications, as well as by responding to ESG questionnaires received.

30. Prepare an integrated annual report with information validated by the departments in charge.

6. Confidentiality

The contents of this document are the property of Grupo BMV and may not be reproduced in whole and/or in part.

All information is the property of Grupo BMV and may not be disclosed by any employee of Grupo BMV.

7. Review and Interpretation

The contents of this Policy shall be reviewed by the Sustainability Sub-Directorate and the Administration and Finance Department during the first quarter of each year, or whenever deemed necessary for justified reasons. The procedure for its modification shall comply with the provisions of the Rules for the issuance, modification and maintenance of Grupo BMV policies.


Any consultation, doubt or clarification related to the application or interpretation of this document will be handled by the Administration and Finance Department.

8. Distribution List

- i. The original document in the possession of the Deputy Directorate General of Regulations and Compliance.
- ii. Electronic Distribution. This Policy shall be disseminated by the Deputy Directorate General of Regulations and Compliance and by the Sustainability Sub-Directorate, for the knowledge and application of the corresponding areas and employees of the entities of Grupo BMV.

9. Effective date and validity

This Policy, and any amendment thereto, shall become effective, and shall be of general observance for all employees, as of the day following its dissemination, subject to approval by the General Management of Grupo BMV, and shall remain in effect until it is repealed in accordance with the procedure established in the Rules for the issuance, modification and maintenance of Grupo BMV's policies.

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Signature sheet

Mexico City, May 24, 2021.

This Policy consists of 12 pages (including cover page and signature page), and is formalized with the following signatures:

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General Management of Grupo BMV

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